

**PCT**WORLD INTELLECTUAL PROPERTY ORGANIZATION  
International Bureau

## INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

<b>(51) International Patent Classification <sup>6</sup> :</b> <b>C08G 83/00, 65/22</b>	<b>A1</b>	<b>(11) International Publication Number:</b> <b>WO 98/24831</b> <b>(43) International Publication Date:</b> 11 June 1998 (11.06.98)
<b>(21) International Application Number:</b> PCT/US97/21847 <b>(22) International Filing Date:</b> 1 December 1997 (01.12.97)  <b>(30) Priority Data:</b> 08/759,504      4 December 1996 (04.12.96)      US  <b>(71) Applicant:</b> DENTSPLY INTERNATIONAL INC. [US/US]; 570 West College Avenue, P.O. Box 872, York, PA 17405-0872 (US).  <b>(72) Inventor:</b> KLEE, Joachim, E.; Espelweg 3/4, D-78315 Radolfzell (DE).  <b>(74) Agents:</b> HURA, Douglas, J. et al.; Dentsply Internaitonal Inc., 570 West College Avenue, P.O. Box 872, York, PA 17405-0872 (US).		<b>(81) Designated States:</b> AU, BR, JP, European patent (AT, BE, CH, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE).  <b>Published</b> <i>With international search report.</i>
<b>(54) Title:</b> EPOXIDE-AMINE DENDRIMERS AND THE PREPARATION AND USE THEREOF  <b>(57) Abstract</b>  Epoxide-amine dendrimers synthesized by a repetitive and step-wise addition reaction of epoxides comprising functional moieties which are available for conversion into amino groups followed by a reaction of these groups to primary amino moieties. The amino terminated dendrimers are reacted with (2,3-epoxypropoxy) methacrylate, a monoepoxide and/or a monoisocyanate. The methacrylate terminated dendrimers are polymerizable using redox initiators and/or photoinitiators. The resulting dendrimers show a very low volume shrinkage from that of the starting materials, on the order of less than about 5 % by volume.		

***FOR THE PURPOSES OF INFORMATION ONLY***

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

<b>AL</b>	Albania	<b>ES</b>	Spain	<b>LS</b>	Lesotho	<b>SI</b>	Slovenia
<b>AM</b>	Armenia	<b>FI</b>	Finland	<b>LT</b>	Lithuania	<b>SK</b>	Slovakia
<b>AT</b>	Austria	<b>FR</b>	France	<b>LU</b>	Luxembourg	<b>SN</b>	Senegal
<b>AU</b>	Australia	<b>GA</b>	Gabon	<b>LV</b>	Latvia	<b>SZ</b>	Swaziland
<b>AZ</b>	Azerbaijan	<b>GB</b>	United Kingdom	<b>MC</b>	Monaco	<b>TD</b>	Chad
<b>BA</b>	Bosnia and Herzegovina	<b>GE</b>	Georgia	<b>MD</b>	Republic of Moldova	<b>TG</b>	Togo
<b>BB</b>	Barbados	<b>GH</b>	Ghana	<b>MG</b>	Madagascar	<b>TJ</b>	Tajikistan
<b>BE</b>	Belgium	<b>GN</b>	Guinea	<b>MK</b>	The former Yugoslav	<b>TM</b>	Turkmenistan
<b>BF</b>	Burkina Faso	<b>GR</b>	Greece		Republic of Macedonia	<b>TR</b>	Turkey
<b>BG</b>	Bulgaria	<b>HU</b>	Hungary	<b>ML</b>	Mali	<b>TT</b>	Trinidad and Tobago
<b>BJ</b>	Benin	<b>IE</b>	Ireland	<b>MN</b>	Mongolia	<b>UA</b>	Ukraine
<b>BR</b>	Brazil	<b>IL</b>	Israel	<b>MR</b>	Mauritania	<b>UG</b>	Uganda
<b>BY</b>	Belarus	<b>IS</b>	Iceland	<b>MW</b>	Malawi	<b>US</b>	United States of America
<b>CA</b>	Canada	<b>IT</b>	Italy	<b>MX</b>	Mexico	<b>UZ</b>	Uzbekistan
<b>CF</b>	Central African Republic	<b>JP</b>	Japan	<b>NE</b>	Niger	<b>VN</b>	Viet Nam
<b>CG</b>	Congo	<b>KE</b>	Kenya	<b>NL</b>	Netherlands	<b>YU</b>	Yugoslavia
<b>CH</b>	Switzerland	<b>KG</b>	Kyrgyzstan	<b>NO</b>	Norway	<b>ZW</b>	Zimbabwe
<b>CI</b>	Côte d'Ivoire	<b>KP</b>	Democratic People's	<b>NZ</b>	New Zealand		
<b>CM</b>	Cameroon		Republic of Korea	<b>PL</b>	Poland		
<b>CN</b>	China	<b>KR</b>	Republic of Korea	<b>PT</b>	Portugal		
<b>CU</b>	Cuba	<b>KZ</b>	Kazakstan	<b>RO</b>	Romania		
<b>CZ</b>	Czech Republic	<b>LC</b>	Saint Lucia	<b>RU</b>	Russian Federation		
<b>DE</b>	Germany	<b>LI</b>	Liechtenstein	<b>SD</b>	Sudan		
<b>DK</b>	Denmark	<b>LK</b>	Sri Lanka	<b>SE</b>	Sweden		
<b>EE</b>	Estonia	<b>LR</b>	Liberia	<b>SG</b>	Singapore		

EPOXIDE-AMINE DENDRIMERS AND THE PREPARATION AND USE  
THEREOF

**TECHNICAL FIELD**

The invention relates to epoxide-amine dendrimers comprising cyano, amino, (meth) acrylate, epoxide and/or isocyanate endgroups which are suitable for dental and medical applications, pharmacy imaging, agrochemistry, photocopier toners, high resolution lithography, non-linear optical devices, molecular electronic devices, catalysts, resins and surface active agents (surfactants).

**BACKGROUND OF THE INVENTION**

Dendrimers, also known as Starburst polymers<sup>®</sup> (Michigan Molecular institute) and cascade polymers are known in the art. The synthesis of a number of dendrimers is described in for example, PAMAM Starbursts, D.A. Tomalia et al., Top. Cur. Chem. **165** (1993) 194, Angew. Chem. Int. Ed. Engl. **138** (1990) 29, Encyclopedia of Polymer Science and Engineering, 2nd. ed, Wiley, New York 1990, pp. 46-92. A large number of different types of dendrimers are described, including those prepared by the addition of acrylonitrile and amines for the preparation of poly(amino) dendrimers which further are functionalized

with methacrylate moieties (N. Moszner, Macromol. Chem. Phys. **197** (1996) 621).

Examples of known dendrimers are those with the structure of poly(ethers), poly(thioethers), poly(esters), poly(amides), poly(esteramides), and poly(ether ketones). Frequently, hyperbranched polymers show a much more broad molecular distribution than dendritic polymers such as hyperbranched polyesters. Dendrimers with epoxide-amine repetitive moieties are not known in the art.

#### DISCLOSURE OF THE INVENTION

It is therefore, an object of the invention to provide a dendritic macromolecule.

It is another object of the invention to provide an epoxide-amine dendrimer.

It is a further object of the invention to provide a low-shrinking composite material containing such dendritic macromolecules.

These and other objects of the invention, which will become apparent from the following discussion, are carried out by the invention as herein described and claimed.

In general, a dendritic macromolecule according to the invention comprises a core molecule having a primary amino moiety, a thiol moiety, a phenol moiety, a carboxylic acid moiety or having at least two secondary

amino moieties, and combinations thereof; and a branching molecule.

There is also provided according to the invention a method of preparing a dendritic macromolecule comprising the step of reacting a core molecule having at least two active HX-functions wherein the HX-function selected from the group of HN, HS, HP, HO-Aryl, and HOOC moieties with a branching molecule having one epoxide moiety and at least one moiety which is suitable for generation of at least a primary amino group.

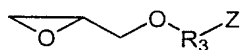
#### ***DESCRIPTION OF THE PREFERRED EMBODIMENTS***

The present invention is directed toward an epoxide-amine dendrimer useful for example in dental and medical applications, pharmacy imaging, agrochemistry, photocopier toners, high resolution lithography, non-linear optical devices, molecular electronic devices, catalysts, resins and surface active agents (surfactants). The invention has particular application to dental uses as a polymerizable resin, as a filler material or the like. Dendritic polymers are discussed for example, in U.S. Pat. Nos. 5,530,092 and 5,418,301 which are hereby incorporated by reference for their general discussion of such polymers.

The core molecule is preferably a molecule having at least a primary amino moiety, a thiol moiety, a phenol moiety, a carboxylic acid moiety or having at least two

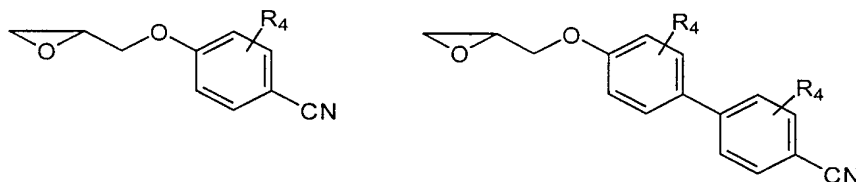
secondary amino moieties, and combinations thereof. For example, useful core molecules include polyamines, polyphenols, polycarboxylic acids, polythiols or molecules having amino and thiol or amino and phenol or phenol and thiol moieties in one molecule such as  $H_2N-R$ ,  $H_2N-R-(NH_2)_n$ ,  $HR_1N-R-(NR_1H)_n$ ,  $HOOC-R-(COOH)_n$ ,  $(HOOC)_m-R-(OH)_o$ ,  $HS-R-(SH)_n$ ,  $HO-R_2-(OH)_n$ ,  $(HR_1N)_m-R-(SH)_o$ ,  $(HR_1N)_m-R-(OH)_o$ ,  $(HO)_m-R-(SH)_o$ ,  $H_2N-(R-NH)_p-R-NH_2$ , wherein R is a substituted or an unsubstituted  $C_1$  to  $C_{18}$  alkylene,  $C_5$  to  $C_{15}$  cycloalkylene or  $C_6$  to  $C_{18}$  arylene rest,  $R_1$  is substituted or unsubstituted  $C_1$  to  $C_{18}$  alkylene,  $C_5$  to  $C_{15}$  cycloalkylene or  $C_6$  to  $C_{18}$  arylene rest,  $R_2$  is substituted or unsubstituted  $C_6$  to  $C_{18}$  arylene rest, and m is an integer of from about 1 to about 5; n is an integer of from about 1 to about 6; o is an integer of from about 1 to about 5; and p is an integer of from about 1 to about 5.

Preferably, the branching molecule for the preparation of epoxide-amine dendrimers has the following structure



wherein  $R_3$  is a substituted or an unsubstituted  $C_1$  to  $C_{18}$  alkylene,  $C_5$  to  $C_{18}$  cycloalkylene or  $C_6$  to  $C_{18}$  arylene rest, Z is a moiety selected from the group consisting of  $-CH=CH_2$ ,  $-CHO$ ,  $-CO-$ ,  $-CONH_2$ ,  $-COCl$ ,  $-COOR$ ,  $-COOH$ ,  $-X$ ,  $-N_3$ ,  $-NO_2$ ,  $-CN$ ,  $-NHOH$ ,  $-NH_3^+X^-$ ; X is Cl, Br, I, or OH.

Preferably the branching molecule is selected from the group consisting of the following substituted or unsubstituted molecules

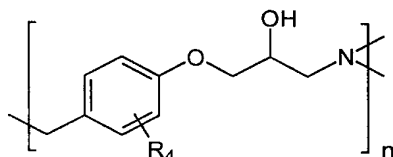


wherein  $R_4$  denotes H or a substituted or an unsubstituted  $C_1$  to  $C_{18}$  alkylene,  $C_5$  to  $C_{15}$  cycloalkylene or  $C_6$  to  $C_{18}$  arylene rest.

An epoxide-amine dendrimer according to the invention is synthesized by reaction of the core molecule having at least two active HX-functions wherein the HX-function is selected from the group of HN, HS, HP, HO-Aryl and HOOC moieties with a branching molecule having one epoxide moiety and at least one moiety which is suitable for generation of at least a primary amino group, such as those discussed above and build-up reaction. The reaction of an epoxide-amine dendrimer is a repetitive reaction sequence of (a) reaction of the moieties which are suitable for generation of primary amino groups to primary amines; (b) an addition reaction of the branching molecules having one epoxide moiety and having at least one moiety which is suitable for generation of at least a primary amino group onto the primary amino moieties generated in (a); and, (c) a terminating reaction which is characterized in the

addition reaction of at least a substituted or unsubstituted monoepoxide, monoisocyanate and/or an acrylate onto the amino functions of the dendrimer. The reaction (a) and addition reaction (b) are repeated at least one time to form an epoxide-amine-dendrimer.

For example an epoxide-amine dendrimer was synthesized by addition of 4 mols 4-Cyano-4'-(2,3-epoxypropoxy) benzene and 1 mol 3(4), 8(9)-bis (aminomethyl) tricyclo-5.2.1.0<sup>2,6</sup>-decane followed by repetitive reduction of the nitril moieties and addition of 4-Cyano-4'-(2,3-epoxypropoxy) to the amino groups. The obtained dendrimer is characterized in that the repetitive branching moiety has the following structure



wherein R<sub>4</sub> is a hydrogen.

The reduction of the nitril moieties is possible using lithium aluminum hydride, Pd-Coal and hydrogen or using Raney-Ni and hydrogen. Each higher generation G<sub>n</sub> of the dendrimer is formed by addition m mols of 4-Cyano-4'-(2,3-epoxypropoxy) to the lower aminoterminated generation G<sub>n-1</sub> according

$$m = x \cdot 2^{(n-1)}$$

when the core molecule have four functions, whereas



m is the number of mols of 4-Cyano-4'-(2,3-epoxypropoxy) and n is the number of generation.

Under these conditions the molecular mass  $M_n$  of the dendrimer is calculated as

$$M_n = M_k + \sum_{(n=1)}^i 2^{(n-1)} \cdot x \cdot M_{AAB}$$

wherein

$M_k$  is the molecular mass of the core molecule having x reactive groups;

$M_{AAB}$  is the molecular mass of 4-Cyano-4'-(2,3-epoxypropoxy); and

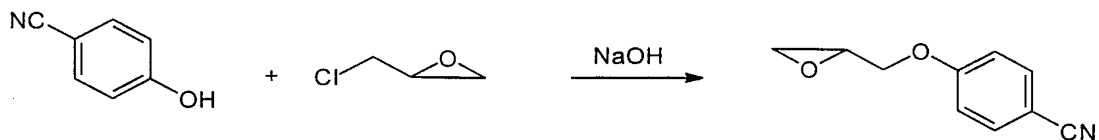
n is the number of generation.

It has been found that a dendrimer macromolecule as described and prepared as above, shows an amount of shrinking less than about 5 percent by weight as compared to the molecule's starting reactants. A composite material made from a plurality of such molecules will exhibit a similar low amount of shrinking.

#### General Experimental

##### **Example 1**

##### Synthesis of 4-Cyano-4'-(2,3-epoxypropyl)-benzene (CNPGE)



In a three-necked bottle equipped with stirrer,

refluxer and dropping funnel were dissolved 119.120 g of 4-Hydroxybenzonitril in 185.060 g of Epichlorhydrin. To this solution was dripped 160 ml of a 25 % sodium hydroxide (40.000 g NaOH) during 6 hours at 75 °C, so that the pH-value remain under 10. Thereafter the mixture was stirred for 8 hours at 80 °C (100 °C temperature of the oil bath). The product crystallizes from the hot separated Epichlorhydrin phase after cooling. The crude product was filtrated off, washed with Ethanol and recrystallized from dry Ethanol.

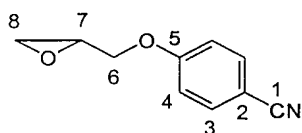
$C_{10}H_9NO_2$ , 175.19

Yield: 77.5 g (44.24 % of th.), Fp. 64.6-65.7 °C (67 °C),

Kp<sub>15</sub>= 250-255 °C

IR: 916, 3063  $cm^{-1}$  (Epoxide), 1259  $cm^{-1}$  (PhOCH<sub>2</sub>), 2224  $cm^{-1}$  (CN)

<sup>13</sup>C-NMR: 161.49 (1), 133.72 (3), 118.80 (5), 115.16 (4), 104.16 (2), 68.87 (6), 49.51 (7), 44.12 (8)



<sup>1</sup>H-NMR: 7.58, 6.99 (Ar), 4.36, 3.95 (6), 3.38 (7), 2.93, 2.78 (8)

#### Synthesis of the first cyano terminated generation G1-CN

10.000 g (51.45 mmol) of TCD and 36.055 g of (205.81 mmol) CNPGE were dissolved in 50 ml THF. The solvent was

removed and the remaining mixture was reacted for ten hours.

Yield: 46.055 g (100 % of th.)

$T_g = 42.0\text{ }^{\circ}\text{C}$

IR:  $3421\text{ cm}^{-1}$  (OH),  $2224\text{ cm}^{-1}$  (CN),  $1263\text{ cm}^{-1}$  (PhOCH<sub>2</sub>),  
no absorption at  $3019\text{ cm}^{-1}$  (Epoxide)

Reduction of the cyano moieties of **G1-CN** to amino terminated dendrimer **G1-NH<sub>2</sub>**

To a solution of 44.000 g of (49.16 mmol) of **G<sub>1</sub>-CN** dissolved in 250 ml THF were added under stirring and cooling a solution of 10.447 g (275.29 mmol) of LiAlH<sub>4</sub> in 120 ml THF. Thereafter the reaction mixture was stirred for 2 hours at room temperature. Than it was hydrolyzed by adding of ice water. The precipitated aluminum hydroxide was filtered off and washed with 50 ml of acetone and three times with 80 ml of CHCl<sub>3</sub>/CH<sub>3</sub>OH (vol. ratio 4:1). Thereafter the solvents were evaporated and the remaining product was dissolved in CHCl<sub>3</sub>/CH<sub>3</sub>OH again. Than the solvent was evaporated and the product was dried in vacuum at 60 °C.

Yield: 44.00 g (98.23 % of th.),  $T_g\ 58.1\text{ }^{\circ}\text{C}$

IR:  $3367/3302\text{ cm}^{-1}$  (NH<sub>2</sub>),  $1246\text{ cm}^{-1}$  (PhOCH<sub>2</sub>), no absorption at  $2224\text{ cm}^{-1}$  (CN)

The repetitive addition reactions of CNPGE to the aminoterminated dendrimers G-NH<sub>2</sub> is given in Tab. 1. The

reduction of the resulting  $G_n$ -CN dendrimers is summarized in *Tab. 2*.

Tab. 1. The addition reactions of CNPGE and of the aminoterminated dendrimers  $G\text{-NH}_2$

	TCD		CNPGE		$G_{(n-1)}\text{-NH}_2$		$G_n\text{-CN}$
	g	mmol	g	mmol	g	mmol	g
$G_1$	10.000	51.45	36.055	205.8			46.055
$G_2$			64.089	365.9	41.670	45.7	105.759
$G_3$			19.703	112.5	17.850	7.0	37.553
$G_4$			18.101	103.3	16.830	3.2	34.931

Tab. 2. Reduction of the resulting nitril terminated  $G\text{-CN}$  dendrimers using  $\text{LiAlH}_4$

	$G_n\text{-CN}$		$\text{LiAlH}_4$		yield $G_n\text{-NH}_2$	
	g	mmol	g	mmol	g	%
$G_1$	44.000	49.16	10.447	275.3	44.00	98.23
$G_2$	46.735	18.8	6.836	180.1	20.92	43.91
$G_3$	34.000	6.7	6.073	160.0	23.50	67.62
$G_4$	45.000	4.0	5.809	153.1	40.00	87.02

Methacrylate terminated epoxide-amine dendrimer **G4-MA-1**

14.000 g (1.28 mmol) of  $G_4\text{-NH}_2$ , 11.636 g of (81.85 mmol) (2,3-Epoxypropoxy) meth-acrylate and 0.026 g of tert. Butylcresol were dissolved in 50 ml of  $\text{CHCl}_3/\text{CH}_3\text{OH}$ . After removing the solvent the remaining mixture was reacted for seven hours at 70 °C. Than the crude product

was dissolved in 150 ml of  $\text{CHCl}_3/\text{CH}_3\text{OH}$  and precipitated in 800 ml of Acetone. The product was dried in vacuum at 80 °C.

Yield: 25.661 g (100 % of th.),  $T_g = 70\text{ }^\circ\text{C}$ ,  $M_n=20.045$  g/mol.

IR:  $3390\text{ cm}^{-1}$  (OH),  $1716\text{ cm}^{-1}$  (CO),  $1248\text{ cm}^{-1}$  ( $\text{PhOCH}_2$ ), no absorption of epoxide moieties at  $910\text{ cm}^{-1}$

The methacrylate terminated epoxide-amine dendrimer **G4-MA-1** polymerized with 2 % (w/w) dibenzoyl peroxide exhibit a volumetric shrinkage of  $\text{DV} = 4.3\%$ .

Methacrylate terminated epoxide-amine dendrimer **G4-MA-2**

14.000 g (1.28 mmol) of **G<sub>4</sub>-NH<sub>2</sub>**, 3.879 g of (27.28 mmol) (2.3-Epoxypropoxy) methacrylate, 8.194 g of (54.57 mmol) Phenylglycidylether and 0.026 g of tert. Butylcresol were dissolved in 50 ml of  $\text{CHCl}_3/\text{CH}_3\text{OH}$ . After removing the solvent the remaining mixture was reacted for seven hours at 70 °C. Then the crude product was dissolved in 150 ml of  $\text{CHCl}_3/\text{CH}_3\text{OH}$  and precipitated in 800 ml of Acetone. The product was dried in vacuum at 80 °C,  $M_n=20.200$  g/mol.

Yield: 26.073 g (100 % of th.),  $T_g = 26.2\text{ }^\circ\text{C}$

IR:  $3390\text{ cm}^{-1}$  (OH),  $1716\text{ cm}^{-1}$  (CO),  $1248\text{ cm}^{-1}$  ( $\text{PhOCH}_2$ ), no absorption of epoxide moieties at  $910\text{ cm}^{-1}$

The methacrylate-terminated epoxide-amine dendrimers of **G4-MA-2** polymerized with 0.5% (w/w) of dibenzoyl

peroxide and 0.5% (w/w) of N,N-bis(polydioxethyl toluidine) exhibits a volumetric shrinkage of  $\Delta V=2.6$ .

As shown hereinabove, the dendrimers of the present invention exhibit a low volumetric shrinkage of less than about 5%. As a comparison, the commonly used polymerizable monomers prior to this invention show a higher shrinkage. A list of these comparisons to the present invention is shown in Table 3 hereinbelow.

TABLE 3

COMPARISON OF MOLECULAR MASS AND VOLUMETIC SHRINKAGE  
OF DIFFERENT MONOMER, EPOXIDE AMINE DENDRIMERS

	Molecular mass g/mol	Volumetric shrinkage %
Methylmethacrylate	100.1	23.0
Triethylenglycoldimethacrylate (TGDMA)	286.3	12.9
Bis-GMA *)	512.2	4.6
Bis-GMA/TGDMA (70/30)	-	7.1
G4-MA-1	20045.3	4.3
G4-MA-2	20216.2	2.6
G4-MA-2/TGDMA (90/10)	-	3.6

\*) Bis-GMA = 2,2-Bis-[p(2-hydroxy-3-methacryloyloxypropoxy)-phenyl]-propane

In order to decrease the shrinkage of the polymers heretofore known in the art, one method has been to make oligomers or macromonomers that have a higher molecular weight. However, it was found that with the increasing molecular weights, the viscosity of the resin also increased detrimentally. This means that a larger amount of reactive diluent was necessary to obtain a resin which

was useable. Consequently, the shrinkage increased again by application of a low molecular weight diluent.

On the other hand, it is also known that dendritic polymers exhibit special rheologic properties due to their special geometry. In some cases, dendrimers are viscous materials which are useable without further diluents or only small amounts of diluents being necessary. The different behavior of a solution of linear polymers/macromonomers and dendrimers is explained by consideration of their structure. Linear polymers exist in the form of tangles of many single polymers. A large amount of solvent is necessary to unfold the polymers and bring them into solution. Dendrimers which are "ball-like" represent one dendritic polymer which is not physically connected with other polymers. Consequently, only small amounts of a diluent can dissolve these dendritic polymers.

This means in practice, that for example Bis-GMA is only usable in a mixture with at least 30% (w/w) of a diluent such as triethyleneglycoldimethacrylate. This mixture shows a relatively high shrinkage of  $\Delta V=7.10\%$ . The epoxide-amine dendrimers of this invention dissolved in 10% (w/w) of triethyleneglycoldimethacrylate. Consequently, only a shrinkage of  $\Delta V=3.60\%$  results.

The measurement of the shrinkage is based on the estimation of the density of polymerized and



unpolymerized material. The densities were measured by an uplift-method (measuring the weight of the material in water).

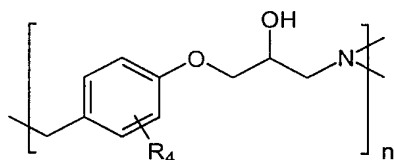
It should of course be understood that this invention may be modified in various aspects related to composition ingredients, processing details and the like. Such modifications all are within the scope of the claims which follow.

**What is claimed is:**

1. A dendritic macromolecule comprising a core molecule having at least one primary amino moiety, at least two thiol moiety, at least two phenol moiety, at least two carboxylic acid moiety or having at least two secondary amino moieties, or combinations thereof; and a branching molecule.

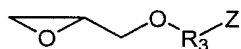
2. A dendritic macromolecule as in claim 1 wherein said core molecule is selected from the group  $H_2N-R$ ;  $H_2N-R-(NH_2)_n$ ,  $HR_1N-R-(NR_1H)_n$ ;  $HOOC-R-(COOH)_n$ ;  $(HOOC)_m-R-(OH)_o$ ,  $HS-R-(SH)_n$ ;  $HO-R_2-(OH)_n$ ;  $(HR_1N)_m-R-(SH)_o$ ;  $(HR_1N)_m-R-(OH)_o$ ;  $(HO)_m-R-(SH)_o$ ;  $H_2N-(R-NH)_p-R-NH_2$ , wherein R and  $R_1$  are the same or different and are selected from the group consisting of substituted and unsubstituted  $C_1$  to  $C_{18}$  alkylene,  $C_5$  to  $C_{15}$  cycloalkylene and  $C_6$  to  $C_{18}$  arylene rest;  $R_2$  is substituted or unsubstituted  $C_6$  to  $C_{18}$  arylene rest; and m is an integer of from about 1 to about 5; n is an integer of from about 1 to about 6; and o is an integer of from about 1 to about 5; and p is an integer of from about 1 to about 5.

3. A dendritic macromolecule as in claim 2 wherein the repetitive branching moiety has the structure



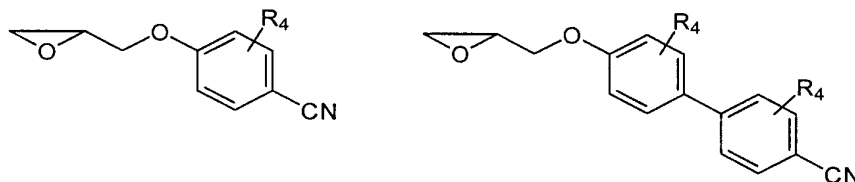
wherein  $R_4$  is a substituted or an unsubstituted  $C_1$  to  $C_{18}$  alkylene,  $C_5$  to  $C_{18}$  cycloalkylene or  $C_6$  to  $C_{18}$  arylene rest.

4. A dendritic macromolecule in claim 1, wherein said branching molecule has the structure



wherein  $R_3$  is a substituted or an unsubstituted  $C_1$  to  $C_{18}$  alkylene,  $C_5$  to  $C_{18}$  cycloalkylene or  $C_6$  to  $C_{18}$  arylene rest,  $Z$  is a moiety selected from the group consisting of  $-\text{CH}=\text{CH}_2$ ,  $-\text{CHO}$ ,  $-\text{CO}-$ ,  $-\text{CONH}_2$ ,  $-\text{COCl}$ ,  $-\text{COOR}$ ,  $-\text{COOH}$ ,  $-\text{X}$ ,  $-\text{N}_3$ ,  $-\text{NO}_2$ ,  $-\text{CN}$ ,  $-\text{NHOH}$ ,  $-\text{NH}_3^+\text{X}^-$ ;  $\text{X}$  is  $\text{Cl}$ ,  $\text{Br}$ ,  $\text{I}$ , and  $\text{OH}$ .

5. A dendritic macromolecule as in claim 4, wherein said branching molecule is selected from the group consisting of substituted or unsubstituted molecules having the structure



wherein  $R_4$  denotes  $\text{H}$  or a substituted or an unsubstituted  $C_1$  to  $C_{18}$  alkylene,  $C_5$  to  $C_{18}$  cycloalkylene or  $C_6$  to  $C_{18}$  arylene rest.

6. A method of preparing a dendritic macromolecule comprising the step of:

reacting a core molecule having at least two active HX-functions wherein the HX-function selected from the group of HN, HS, HP, HO-Aryl, and HOOC moieties with a branching molecule having one epoxide moiety and at least one moiety which is suitable for generation of at least a primary amino group.

7. A method as in claim 6, further comprising the steps of reacting said moieties which are suitable for generation of primary amino groups to primary amines and reacting by addition reaction of the branching molecules having one epoxide moiety and having at least one moiety which is suitable for generation of at least a primary amino group onto said generated primary amino moieties and terminating reaction.

8. A method as in claim 7 wherein said step of terminating the reaction includes the addition reaction of at least a substituted or unsubstituted monoepoxide, a monoisocyanate or an acrylate onto the amino functions of the dendrimer.

9. A method as in claim 7 wherein said reaction and said addition reaction are repeated at least once.

10. A macromolecule prepared according to the method of claim 6.

11. A macromolecule as in claim 10, which shrinks less than about 5 percent by volume from the volume of the starting reactants.

# INTERNATIONAL SEARCH REPORT

International Application No

PCT/US 97/21847

## A. CLASSIFICATION OF SUBJECT MATTER

IPC 6 C08G83/00 C08G65/22

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC 6 C08G

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	WO 93 14147 A (DSM NV) 22 July 1993 see claims 1,2,4,5,,5 see page 2, line 30 - page 4, line 10 ---	1,2
X	EP 0 682 059 A (GOULD ELECTRONICS INC) 15 November 1995 see claim 1 see page 4, column 6, line 45 - line 58 see page 6, column 10, line 51 - line 56; figures 4,5 ---	1,2
X	US 4 938 885 A (MIGDAL CYRIL A) 3 July 1990 see claims 1,6 --- -/--	1,2

☒ Further documents are listed in the continuation of box C.

☒ Patent family members are listed in annex.

\* Special categories of cited documents :

"A" document defining the general state of the art which is not considered to be of particular relevance

"E" earlier document but published on or after the international filing date

"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)

"O" document referring to an oral disclosure, use, exhibition or other means

"P" document published prior to the international filing date but later than the priority date claimed

"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.

"&" document member of the same patent family

Date of the actual completion of the international search

18 February 1998

Date of mailing of the international search report

04/03/1998

Name and mailing address of the ISA

European Patent Office, P.B. 5818 Patentlaan 2  
NL - 2280 HV Rijswijk  
Tel. (+31-70) 340-2040, Tx. 31 651 epo nl,  
Fax: (+31-70) 340-3016

Authorized officer

Niaounakis, M

# INTERNATIONAL SEARCH REPORT

Inter- nal Application No

PCT/US 97/21847

## C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category °	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	NICOLE ARDOIN, DIDIER ASTRUC: "Molecular trees: from syntheses towards applications" BULL SOC CHIM FR , no. 132, 1995, ELSEVIER, PARIS, pages 875-909, XP002056213 see page 881; figure 5 see page 882; figure 6 ---	1,2
A	WO 93 18079 A (DSM NV) 16 September 1993 see claim 4 -----	1

# INTERNATIONAL SEARCH REPORT

information on patent family members

International Application No

PCT/US 97/21847

Patent document cited in search report	Publication date	Patent family member(s)	Publication date
WO 9314147 A	22-07-93	NL 9200043 A	02-08-93
		AT 134203 T	15-02-96
		AU 3369193 A	03-08-93
		BG 98106 A	15-07-94
		BR 9303946 A	02-08-94
		CA 2105967 A	14-07-93
		CZ 9301884 A	13-04-94
		DE 69301554 D	28-03-96
		EP 0575596 A	29-12-93
		ES 2085144 T	16-05-96
		HU 66443 A,B	28-11-94
		JP 6506501 T	21-07-94
		NZ 246697 A	21-12-95
		PL 171776 B	30-06-97
		SK 97893 A	11-05-94
		US 5530092 A	25-06-96
		US 5610268 A	11-03-97
EP 0682059 A	15-11-95	CN 1116213 A	07-02-96
		US 5648186 A	15-07-97
US 4938885 A	03-07-90	NONE	
WO 9318079 A	16-09-93	NL 9200416 A	01-10-93
		CN 1076702 A	29-09-93